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TO: Commissioner for Patents

FAX NO.: 703-872-9306

FROM: Keith Taboada, Esq.

DATE: April 29, 2005

MATTER: Serial No. 10/805,890 Filed: March 22, 2004

DOCKET NO.: 7017 C1/ETCH/METAL-NVM/JB1

APPLICANT: Nallan, et al.

The following has been received in the U.S. Patent and Trademark Office on the date of this facsimile:

☐ Petition
☐ Disclosure Statement & PTO-1449
☐ Priority Document
☐ Drawings (sheets) Informal
☒ Preliminary Amendment (6 pages)

☐ Transmittal Letter (2 copies)
☐ Fee Transmittal (2 copies)
☐ Deposit Account Transaction
☒ Facsimile Transmission Certificate dated April 29, 2005

CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being transmitted by facsimile to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 29, 2005.
Facsimile No. (703) 872-9306.

Allyson M. DeVesty
Name of person signing this certificate

Allyson M. DeVesty 4-29-05
Signature and date

360368

Preliminary Amendment
Serial No. 10/805,890
Page 1 of 6

**IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE
PATENT APPLICATION**

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Applicants: Nallan, et al.

Case: 7017 C1/ETCH/METAL-NVM/JB1

Serial No.: 10/805,890

Filed: March 22, 2004

Examiner: Not yet assigned

Group Art Unit: 1763

Confirmation No.: 1896

**Title: METHOD OF PLASMA ETCHING OF HIGH-K DIELECTRIC MATERIALS
WITH HIGH SELECTIVITY TO UNDERLYING LAYERS**

**Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

S I R:

PRELIMINARY AMENDMENT

Please enter the following Preliminary Amendment. The Applicants believe no fee is due in connection with this Amendment. The Commissioner is hereby authorized to charge Deposit Account No. 20-0782 for any fees, including extension of time fees or excess claim fees, required to make this response timely and acceptable to the Office.

359857